COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/912,109

07/24/2001

Yoshifumi Sakamoto

JP920000036US1

**CONFIRMATION NO. 4474** 

**FORMALITIES LETTER** 

OC000000006467522\*

Louis P. Herzberg Intellectual Property Law Dept. **IBM** Corporation P.O. Box 218 Yorktown Heights, NY 10598

Date Mailed: 08/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PATENT



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of : Initial Patent Examination

Division

Sakamoto et al. : Box Missing Parts

Serial No.: 09/912,109 : Date: October 22, 2001

Filed: July 24, 2001 :

For: BROADCAST SYSTEM AND METHOD FOR BROWSING THE WEB

# RESPONSE TO FILING OF MISSING PARTS OF APPLICATION UNDER 37 CFR 1.63 FILING DECLARATION AND POWER OF ATTORNEY FILING ASSIGNMENT

To: Commissioner for Patents
Initial Patent Examination Division
Box Missing Parts
Washington, D.C. 20231

Sir:

On July 24, 2001, the above-identified application was filed with the U.S. Patent and Trademark Office, under Rule 1.53(f) Missing Parts.

Enclosed at this time is an original declaration, which includes the signatures of the two inventors. This copy has been altered only to indicate the serial number and filing date of the subject application.

Also attached for filing is the original fully executed Assignment for the above-identified application with the required Recordation Form Cover Sheet.

The fee of \$170.00 representing both the Surcharge of \$130.00 and Assignment fee of \$40.00 is to be charged to Deposit Account No.: 09-0468. A duplicate copy of this paper is attached.

A copy of the "Notice to File Missing Parts of Application-Filing Date Granted", date mailed August 24, 2001, is also attached as per your instructions.

Respectfully submitted,

Louis P. Herzberg

Reg. No. 41,500

Tel. (914) 945-2885

IBM Thomas J. Watson Research Center Intellectual Property Law Department P.O. Box 218 Yorktown Heights, N.Y. 10598

Attorney Docket: JP920000036US1

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JAN 2 3 2002

To: Commissioner for Patents

Initial Patent Examination Division

Box Missing Parts Washington, D.C. 20231

## CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

- 1. Acknowledgment Post Card;
- Filing of Missing Parts of Application Under 37 CFR 1.63 (in duplicate);
- 3. Declaration and Power of Attorney Form;
- 4. Notice to File Missing Parts of Application (return copy); and
- 5. Assignment to IBM with attached Recordation Form

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents Initial Patent Examination Division Box Missing Parts Washington, DC 20231

on <u>October 22, 2001</u>

| _ | <u> Marqaret McCabe</u>               |   |
|---|---------------------------------------|---|
|   | (Print name of person certifying      | ) |
|   | · · · · · · · · · · · · · · · · · · · |   |
|   | <u> </u>                              |   |
|   | (Signature of person certifying)      |   |